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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ralph et al.

Examiner: Reip, D.

Serial No.: 09/992,612

Art Unit: 3731

Filed: 11/13/2001

Docket No.: F-146B

For: Polyaxial Pedicle Screw Having a
Threaded and Tapered Compression
Locking Mechanism

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED
AUG 28 2003
OFFICE OF PETITIONS

**REQUEST FOR CORRECTION OF SMALL ENTITY STATUS
UNDER 37 C.F.R. §1.28(c)**

Sir:

The undersigned attorney of record in the above-identified patent application hereby notifies the U.S. Patent and Trademark Office of loss of entitlement to small entity status.

The fees paid as small entity, the current large entity fees and the deficiencies submitted herewith are itemized below:

<u>Paper</u>	<u>Small Entity Fee Paid</u>	<u>Current Large Entity Fee</u>	<u>Deficiency Submitted</u>
Filing Fee	\$ 370.00	\$ 750.00	\$ 380.00
Amendment - 4 independent claims			
in excess of 3	\$ 168.00	\$ 336.00	
16 claims in			
in excess of 20	\$ 144.00	\$ 288.00	
	\$ 312.00	\$ 624.00	\$ 312.00
			Total: \$ 692.00

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)


I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on Aug 25, 2003.

Dated: Aug 25, 2003

Russell R. Kassner
(NAME) RUSSELL R. KASSNER
Reg. No. 36,183

The Commissioner is hereby authorized to charge the fee for the deficiency in the amount of \$692.00 to Deposit Account No. 06-0245. A duplicate copy of this document is attached for this purpose.

Respectfully submitted,



Joseph P. Errico
Reg. No. 38,131

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PATENT

Attorney Docket: 1390-9CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Ralph et al. Examiner: Reip, D.
Serial No.: 09/992,612 Group: Art Unit 3731
Filed: November 13, 2001 Dated: August 25, 2003
For: **POLYAXIAL PEDICLE SCREW HAVING
A THREADED AND TAPERED COMPRESSION
LOCKING MECHANISM**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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AMENDMENT TRANSMITTAL FORM

Sir:

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN SMALL ENTITY
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	ADDIT. RATE FEE	ADDIT. RATE FEE
TOTAL	20 MINUS 36	= 0	X 9 \$	X 18 \$ 0.00	
INDEP.	5 MINUS 4	= 0	X 42 \$	X 84 \$ 84.00	
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			X 140 \$	X 280 \$ 0.00	
			TOTAL	OR TOTAL \$ 84.00	
			<u>ADDIT. FEE</u>	<u>\$ 84.00</u>	

* If the entry in Co. 1 is less than entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 25, 2003.

Dated: August 25, 2003

Russell R. Kassner

- ☐ Please charge Deposit Account No. 50-2140 in the amount of \$_____. Two (2) copies of this sheet are enclosed.
- ☒ A check in the amount of \$304.00 is enclosed, which covers the fee of \$84.00 for one (1) extra independent claim, the fee of \$110 for a one-month extension of time, and the fee of \$110.00 for the filing of the Terminal Disclaimer which are attached.
- ☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-2140. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-2140 therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Respectfully submitted,



Russell R. Kassner
Reg. No. 36,183
Attorney for Applicant(s)

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